

PRIVACY POLICY

As a regulated entity, we acknowledge the confidential and private nature of your personal information. Consequently, we have adopted policies and internal practices designed, in our view, to insure the confidentiality of your records and personal information consistent with not only mandated rules and regulations, but our desire to preserve your privacy.

The following Privacy Statement describes the process whereby we collect personal information; the categories of personal information we may disclose to unrelated third parties; the categories of third parties to whom we may make disclosures; and, how we protect your financial information.

What kind of nonpublic information do we collect about you if you become a customer?

We collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms, such as, your name, address, telephone number, social security number, your bank account number, investing experience, employment, net worth, investment objectives and other information.
- Information about your transactions with us, our affiliates, or others, such as, information about the shares that you buy or sell, and the deposits and withdrawals you make to your account, your account balance, and payment history.

What information do we disclose and to whom do we disclose it?

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as required by law.

What do we do to protect your personal information?

We restrict access to nonpublic personal information about you to the people who need to know your information in order to perform their jobs or provide services to you. We maintain physical, electronic, and procedural safeguards to keep your personal information confidential.

What if there is a change in our policy?

We will advise you, in advance, if we change our Privacy Policy for disclosing any nonpublic personal information to a nonaffiliated third party.

If you have any additional questions or concerns about privacy, our information practices or the confidentiality of personal data, please contact us at 248-952-1502.